



Atty. Docket. No.: IDF 1538 (4000-01800)

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew Ahmad, et al.

Serial No.: 09/772,548

Filed: January 30, 2001

For: METHOD FOR DEPLOYMENT
MODIFICATION OF TRANSACTIONAL
BEHAVIOR IN CORBA OTS

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Group Art Unit: 2161

Examiner: Siddiqi, Mohammad A.

Confirmation No.: 9236

Mail Stop RCE
Commissioner for Patents
P. O. Box 1450
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

June 28 2004

Date of Deposit

Karen A. Harris

Karen A. Harris

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware. Copies of the prior art listed on Form PTO-1449 are enclosed herewith.

The submission of this Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

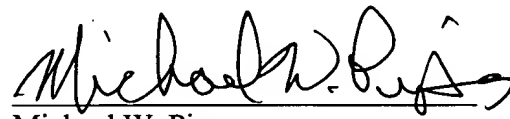
It is submitted that the art cited does not constitute a bar to the patentability of Applicant's invention under 35 U.S.C. § 102 or § 103.

This Information Disclosure Statement is submitted concurrently with a Request for Continued Examination (RCE) in the above-styled matter. Thus, in accordance with 37 C.F.R. 1.97(c), Applicants submit that because no Office Action on the merits has been received following the filing of a RCE, no fee is due for the filing of this Information Disclosure Statement. Nonetheless, in the event that a fee is due, please charge the Deposit Account 21-0765, Sprint in the amount of \$180 so that this Information Disclosure Statement may be considered under Rule 1.97(c).

Date: 6/28/2004

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Respectfully submitted,
CONLEY ROSE, P.C.


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ATTORNEY FOR APPLICANT

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT FOR: Method For Deployment Modification of Transactional Behavior in CORBA OTS	ATTY. DOCKET NO. ID# 538 (4000-01800)	SERIAL NO. 09/772,548
	APPLICANTS: Andrew Ahmad, et al.	
	FILING DATE: January 30, 2001	GROUP 2161

U. S. PATENT DOCUMENTS							
Examiner Initial		Patent Number	Issue Date	Patentee	Class	Sub-class	Filing Date (If Appropriate)
	AA	6,633,923	10/14/03	Kukura et al.	709	316	01/28/00
	AB	6,708,223	03/16/04	Wang et al.	709	315	12/09/99
	AC						
	AD						
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	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						

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OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)			
		AP	Priya Narasimhan, et al., <i>Using Interceptors to Enhance CORBA</i> , July, 1999, pgs. 62-68, University of California, Santa Barbara
Examiner		Date Considered	
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			